

WSGL WEINGARTEN, SCHURGIN, GAGNEBIN & LEBOVICI LLP*Intellectual Property Law*
Patents • Trademarks • CopyrightsTen Post Office Square
Boston, Massachusetts 02109
Tel. 617.542.2290 • Fax. 617. 451.0313
www.wsglip.com**RECEIVED
CENTRAL FAX CENTER****SEP 19 2007****FACSIMILE COVER SHEET**

DATE: September 19, 2007

TO: **Office Of Initial Patent Examination's
Filing Receipt Corrections**

Fax No.: (571) 273-8300

FROM: Charles L. Gagnebin III

No. of pages transmitted
(including this page): 10

Our File: AK-525XX

Time: 3:20 pm

Your Ref:

Sent by: Lisa

Application No. 10/594,290

Filed Date: May 7, 2007

Confirmation No.: 6319

TC Art Unit: 1714

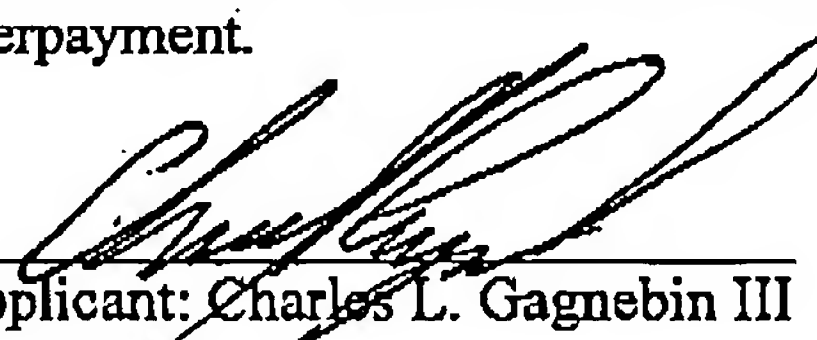
A confirmation copy of this transmission will not be mailed unless the following is checked: []

PLEASE DELIVER DIRECTLY TO:**Office of Initial Patent Examination's Filing Receipt Corrections**

Enclosed for filing please find a copy of Filing Receipt with corrections noted thereon. There is a typographical error in the city of residence of each of the inventors; enclosed is a copy of the executed Declaration as filed indicating the correct spelling of the city of residence. Also, there is an error in the Title - the Title was amended by Preliminary Amendment filed 9/25/06; enclosed is a copy of the first pages of the Preliminary Amendment showing the correct title.
Please issue a corrected Filing Receipt.

The Commissioner is hereby authorized to Charge Deposit Account No. 23-0804 for any additional filing fees associated with this communication or credit any overpayment.

CLG/laf/357022.1


Attorney for Applicant: Charles L. Gagnebin III
Registration No. 25,467

THIS MESSAGE MAY CONTAIN CONFIDENTIAL OR PRIVILEGED INFORMATION INTENDED ONLY FOR THE PERSON(S) IDENTIFIED ABOVE. IF IT HAS BEEN RECEIVED AT ANY OTHER PLACE OR HAS NOT BEEN CLEARLY RECEIVED, PLEASE CALL THE ABOVE IDENTIFIED SENDING PARTY COLLECT FOR INSTRUCTIONS. DO NOT SHOW OR DISTRIBUTE THIS MESSAGE TO ANYONE OTHER THAN THE INTENDED RECIPIENT(S). THANK YOU.

RECEIVED
CENTRAL FAX CENTER002
Page 1 of 3

SEP 19 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/594,290	05/07/2007	1714	1660	AK-525XX	9	1

CONFIRMATION NO. 6319

207

WEINGARTEN, SCHURGIN, GAGNEBIN & LEOVICI LLP
TEN POST OFFICE SQUARE
BOSTON, MA 02109

FILING RECEIPT



OC000000025653979

Date Mailed: 09/06/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Katsuya Takigawa, Yokohama-shi, JAPAN;
Yukiharu Beppu, Yokohama-shi, JAPAN;
Sinichi Mitsumoto, Yokohama-shi, JAPAN;
Masahiro Hata, Yokohama-shi, JAPAN;
Eiji Akiyama, Yokohama-shi, JAPAN;

Yokohama-shi
Yokohama-shi
Yokohama-shi
Yokohama-shi
Yokohama-shi

RECEIVED
BY:
SEP 10 2007
WEINGARTEN, SCHURGIN,
GAGNEBIN & LEOVICI LLP

Power of Attorney: The patent practitioners associated with Customer Number 00207.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/06410 03/25/2005

Foreign Applications

JAPAN 2004-090218 03/25/2004
JAPAN 2004-090240 03/25/2004
JAPAN 2004-108185 03/31/2004
JAPAN 2004-108503 03/31/2004
JAPAN 2004-106674 03/31/2004
JAPAN 2004-108180 03/31/2004
JAPAN 2004-106670 03/31/2004
JAPAN 2004-108178 03/31/2004
JAPAN 2004-106664 03/31/2004
JAPAN 2004-108502 03/31/2004
JAPAN 2004-132155 03/31/2004

SEP 19 2007

If Required, Foreign Filing License Granted: 08/31/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/594,290**

Projected Publication Date: 12/13/2007

Non-Publication Request: No

Early Publication Request: No

Title~~Lubricating Oil~~ Composition for Industrial Machinery and Equipment
Lubricative**Preliminary Class**

508

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not** result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184**

Title 37, Code of Federal Regulations, 5.11 & 5.15**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Rev 11/05

SEP 19 2007

Sheet 1 of 4

Attorney
Docket No.: AK-525XXDECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LUBRICATING OIL COMPOSITION FOR INDUSTRIAL MACHINERY AND EQUIPMENT

The specification of which (check one):

[] is attached hereto [] was ^{deposited on} filed on Sept. 25, 2006 as Application No. 10/594,290,
amended on Sept. 25, 2006 (if applicable).[X] was filed as PCT International Appl. No. PCT/JP2005/006410 on 25 March 2005,
and was amended under PCT Article 19 on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations §1.56.

I hereby claim foreign priority benefits under Title 35, USC §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>		<u>Date Filed</u>	<u>Priority Claimed</u>	
<u>2004-090218</u> (Number)	<u>JAPAN</u> (Country)	<u>25 March 2004</u> (Day/Month/Year)	[X] Yes	[] No
<u>2004-090240</u> (Number)	<u>JAPAN</u> (Country)	<u>25 March 2004</u> (Day/Month/Year)	[X] Yes	[] No
<u>2004-108185</u> (Number)	<u>JAPAN</u> (Country)	<u>31 March 2004</u> (Day/Month/Year)	[X] Yes	[] No
<u>2004-108503</u> (Number)	<u>JAPAN</u> (Country)	<u>31 March 2004</u> (Day/Month/Year)	[X] Yes	[] No
<u>2004-106674</u> (Number)	<u>JAPAN</u> (Country)	<u>31 March 2004</u> (Day/Month/Year)	[X] Yes	[] No
<u>2004-108180</u> (Number)	<u>JAPAN</u> (Country)	<u>31 March 2004</u> (Day/Month/Year)	[X] Yes	[] No

Express Mail Number

EV 560127855 US

RECEIVED
CENTRAL FAX CENTER

008

SEP 19 2007

Attorney

Docket No.: AX-525XX

Prior Foreign Application(s) (cont'd)		Date Filed	Priority Claimed	
2004-106670 (Number)	JAPAN (Country)	31 March 2004 (Day/Month/Year)	[X] Yes	[] No
2004-108178 (Number)	JAPAN (Country)	31 March 2004 (Day/Month/Year)	[X] Yes	[] No
2004-106664 (Number)	JAPAN (Country)	31 March 2004 (Day/Month/Year)	[X] Yes	[] No
2004-108502 (Number)	JAPAN (Country)	31 March 2004 (Day/Month/Year)	[X] Yes	[] No
2004-132155 (Number)	JAPAN (Country)	31 March 2004 (Day/Month/Year)	[X] Yes	[] No

I hereby claim the benefit under Title 35, USC §119(e) of any United States provisional application(s) listed below:

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under Title 35 USC §120 of any United States or International application(s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 USC §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application No.)

(Filing Date)

(Patented/pending/abandoned)

(Application No.)

(Filing Date)

(Patented/pending/abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the Attorneys associated with Customer Number 00207 to prosecute this application and transact all business connected therewith in the Patent and Trademark Office, and to file with the USRO any International Application based thereon.

The following Attorneys are associated with Customer Number 00207:

Stanley M. Schurgin, Reg. No. 20,979
Charles L. Gagnebin III, Reg. No. 25,467
Victor B. Lebovici, Reg. No. 30,864
Holliday C. Heine, Reg. No. 34,346

Gordon R. Moriarty, Reg. No. 38,973
Beverly E. Hjorth, Reg. No. 32,033
Richard E. Gamache, Reg. No. 39,196
Thomas O. Hoover, Reg. No. 32,470

RECEIVED
CENTRAL FAX CENTER

SEP 19 2007

Attorney

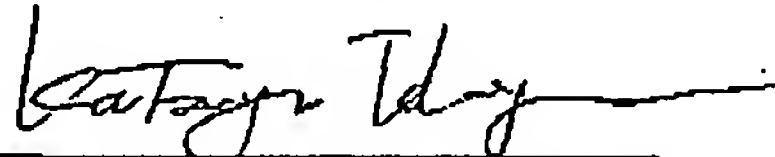
Docket No.: AK-525XX

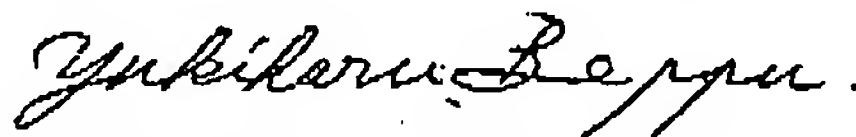
Address all correspondence to:

[X] Customer Number 00207

which is associated with the Law Firm of:
WEINGARTEN, SCHURGIN, GAGNEBIN & LEBOVICI LLP
Ten Post Office Square
Boston, Massachusetts 02109
United States
Telephone: (617) 542-2290 Fax: (617) 451-0313

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First/Sole Inventor: Katsuya TAKIGAWA		
City of Residence Yohohama-shi	State or Country Japan	Country of Citizenship Japan
Post Office Address c/o NIPPON OIL CORPORATION Chidoricho 8, Naka-ku, Yokohama-shi, Kanagawa JAPAN	City Yokohama-shi, Kanagawa	State or Country Zip Code JAPAN
Signature: (Please sign and date in permanent ink.) X 		Date signed: X October 24, 2006

Full Name of Second/Joint Inventor: Yukiharu BEPPU		
City of Residence Yohohama-shi	State or Country Japan	Country of Citizenship Japan
Post Office Address c/o NIPPON OIL CORPORATION Chidoricho 8, Naka-ku, Yokohama-shi, Kanagawa JAPAN	City Yokohama-shi, Kanagawa	State or Country Zip Code JAPAN
Signature: (Please sign and date in permanent ink.) X 		Date signed: X October 24, 2006

RECEIVED
CENTRAL FAX CENTER

SEP 19 2007

Attorney
Docket No.: AK-525XX

Full Name of Third/Joint Inventor: Sinichi MITSUMOTO		
City of Residence Yohohama-shi	State or Country Japan	Country of Citizenship Japan
Post Office Address c/o NIPPON OIL CORPORATION Chidorigicho 8, Naka-ku, Yokohama-shi, Kanagawa JAPAN	City Yokohama-shi, Kanagawa	State or Country Zip Code JAPAN
Signature: (Please sign and date in permanent ink.) X <i>Sinichi Mitsumoto</i>		Date signed: X October 24, 2006

Full Name of Fourth/Joint Inventor: Masahiro HATA		
City of Residence Yohohama-shi	State or Country Japan	Country of Citizenship Japan
Post Office Address c/o NIPPON OIL CORPORATION Chidorigicho 8, Naka-ku, Yokohama-shi, Kanagawa JAPAN	City Yokohama-shi, Kanagawa	State or Country Zip Code JAPAN
Signature: (Please sign and date in permanent ink.) X <i>Masahiro Hata</i>		Date signed: X October 24, 2006

Full Name of Fifth/Joint Inventor: Eiji AKIYAMA		
City of Residence Yohohama-shi	State or Country Japan	Country of Citizenship Japan
Post Office Address c/o NIPPON OIL CORPORATION Chidorigicho 8, Naka-ku, Yokohama-shi, Kanagawa JAPAN	City Yokohama-shi, Kanagawa	State or Country Zip Code JAPAN
Signature: (Please sign and date in permanent ink.) X <i>Eiji Akiyama</i>		Date signed: X October 24, 2006

RECEIVED
CENTRAL FAX CENTER

SEP 19 2007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : KATSUYA TAKIGAWA, ET AL.
Application No. :
Filed : Herewith
Confirmation No. :
For : LUBRICATING OIL COMPOSITION FOR INDUSTRIAL
MACHINERY AND EQUIPMENT
Examiner :
Attorney's Docket : AK-525XX

TC Art Unit:

I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail in an
envelope addressed to: Mail Stop PCT, Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450 on

By: _____

Charles L. Gagnebin III
Registration No. 25,467
Attorney for Applicant(s)

PRELIMINARY AMENDMENT

"PA"

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Kindly enter the following Preliminary Amendment in the
above-identified patent application:

WEINGARTEN, SCHURGIN,
GAGNEBIN & LEBOVICI LLP
TEL. (617) 542-2290
FAX. (617) 451-0313

Express Mail Number

EV 559896864 US

WEINGARTEN, SCHURGIN,
GAGNEBIN & LEBOVICI LLP
TEL. (617) 542-2290
FAX. (617) 451-0313